

URGE Management Plan for Maine Sea Grant, University of Maine

This should be a plan to incorporate deliverables into your organization as you continue to develop, assess, and finalize policies and resources. You may want to adjust the format of this for more comprehensive plans, but this covers the essentials.

| Deliverable | Existing Policy or Resource? | Initial Point of Contact(s) | Where It Is or Will Be Posted | Review/Update Interval | Racial Risk Assessment? | Training Recommended? | Approval, Check, and/or Consequence |
|------------------------------------|------------------------------------|--|---|----------------------------|-------------------------|---|---|
| Complaints and Reporting Policy | Yes | Gayle B. Zydlewski Director, Maine Sea Grant College Program | Bias Response Team File a Report | Recommended annually | Recommended | Not planned | Recommend review plan made publicly available |
| Demographic Data | No | Gayle B. Zydlewski | President's Council on DEI Diversity, Equity and Inclusion at UMaine | Recommend every 2 years | Recommended | Reference: Improving Underrepresented Minority Student Persistence in STEM; 2016 M. Estrada et al. CBE—Life Sciences Education © 2016 The American Society for Cell Biology | Recommend data readily available to the public |
| Policies for Working with | No | Gayle B. Zydlewski | TBD | Recommend annually | Yes | Reference: Creating a World for Me: | Recommend action by |



| Communities of Color | | | | | | Students of Color Navigating STEM Identify; T. Jones, The Journal of Negro Education. 2019, Vol. 88, No. 3 | President's Council on DEI |
|-----------------------------------|-----|-----------------------|--|--------------------|-------------|--|--|
| Admissions and Hiring Policies | Yes | Gayle B. Zydlewski | University of Maine: Office of Equal Opportunity Hiring & Recruitment: Equal Opportunity Search Information Non- Discrimination Notices | Recommend annually | Recommended | Reference: Inside Graduate Admissions Griffin K.A. (2020) Institutional Barriers, Strategies, and Benefits to Increasing the Representation of Women and Men of Color in the Professoriate. In: Perna L. (eds) Higher Education: Handbook of Theory and Research. Higher Education: Handbook of Theory and Research, Vol 35. Springer, Cham. https://doi.org/10.1 | Review by President's Council on DEI of current policies |



| | | | | | | 007/978-3-030- 31365-4 4 | |
|--------------|--|-----------------------|---------------------------------------|--|-------------|---|---|
| Safety Plan | None related to specific risks faced by communities of color | Gayle B. Zydlewski | Post to UMaine website | Annually, but also after any major reported incidents | Recommended | Reference: Chaudhary VB, Berhe AA (2020) Ten simple rules for building an antiracist lab. PLoS Comput Biol 16(10): e1008210. https://doi.org/ 10.1371/journal.pcb i.1008210 | Recommend action by President's Council on DEI |
| Resource Map | No | Gayle B. Zydlewski | Post on Maine Sea Grant website | Additions on a rolling basis | Not planned | Reference: Ramos, D., & Yi, V. (2020). Doctoral women of color coping with racism and sexism in the academy. International Journal of Doctoral Studies, 15, 135-158. https://doi.org/10.28945/4508 Responding to Racism and Racial | Recommend approval by Maine Sea Grant Director |



| President and Fellows of Harvard College |
|--|
|--|

Additional considerations for each deliverable (use this space to elaborate on table entries, organize it as appropriate for your pod):

- Complaints and Reporting Policy Review of these existing policies to be included in Maine Sea Grant's onboarding process and staff reference materials.
- **Demographic Data** There are issues to work through on how demographic data can be collected and made public, but we will need to work closely with HR on this and it may take several years and/or involvement of additional departments for wider aggregation of data.
- **Policies for Working with Communities of Color –** Such policies do not exist at the University of Maine. It is recommended that the President's Council on DEI evaluate what, if any, current UM policies might inform their development. The Council could then recommend next steps.
- Admissions and Grant-making Policies A review by the President's Council on DEI of current EO policies at UM is recommended. We recommend
 posting policies (as much information as possible) publicly on the jobs board for potential candidates, as well as in requests for research proposals.
 Anti-bias training may need to be part of this as the policies are reviewed and updated by staff to ensure bias does not impact the development of
 these policies, as well as afterward for implementing the policy itself. Approval process would be part of hiring staff and/or awarding grants
- Safety Plan Safety plans specifically to address risks face by communities of color are needed for both UM employee and student handbooks. Elements of the safety plans should be integrated into mandatory annual employee training.
- Resource Map There is no current resource map, but this could be part of onboarding and/or orientation and incorporated into the employee and student handbooks.



URGE Management Plan for Connecticut Sea Grant / Sea Grant Pod

| Deliverable | Existing Policy or | Initial Point of Contact? | Where Is It Of Will Be | Review/Update Interval? | Racial Risk Assessment? | Training Recommended? | Approval, Check and/or |
|--|---|---|-----------------------------------|--|---|---|--|
| | Resource? | | Posted? | | | | Consequence |
| Complaints and Reporting Policy | Yes, through UConn | UConn Office Of Institutional Equity | UConn website | Yearly, by Office of Institutional Equity, then UConn Board of Trustees | Uncertain | Yearly compliance Training required For all staff | UConn Office For Diversity and Inclusion |
| Demographic Data | Yes, UConn Office of Institutional Research and Effectiveness | UConn OIR&E | UConn Website | Yearly | Goals in UConn Diversity & Inclusion Statement | Yearly compliance training required | UConn Office of Institutional Equity |
| Policies for Working with Communities of Color | Explicit policies for CT Sea Grant should be written and posted | CT Sea Grant director & Associate Director | TBD; CTSG website Suggested | TBD; annual review or as part of Strategic Plan update suggested | Maybe | Start with staff discussion and add training if requested by staff | Request input from Senior Advisory Board, members of minority communitie |
| Admissions and Hiring Policies | Yes, through UConn | UConn Human Resources, also UConn AAUP & UConn UCPEA | UConn, union websites | Recommended Annually | Uncertain | Yearly compliance training required | UConn HR & unions provide resources when pay & equity issues arise |



| Safety Plan | Explicit policies for CT Sea Grant should be written and posted | CT Sea Grant director & Associate Director | Internal suggested | Recommended annually or as part of Strategic Plan update | Recommended | Staff discussion followed by training if requested | Request input from Senior Advisory Board, members of minority communities |
|-----------------|---|---|-----------------------|---|-------------|--|---|
| Resource Map | Suggest CTSG create resource map | CT Sea Grant director & Associate Director | Internal Suggested | Recommended annually or as part of Strategic Plan update | Recommended | Staff discussion; revisions if needed | Suggest requesting input from members of minority communities |

- Complaints and Reporting Policy: Covered by UConn, but reviewing it as part of a CT Sea Grant staff meeting is suggested.
- Demographic Data: Covered by UConn, though there are gaps such as in speaker diversity.
- Policies for Working with Communities of Color: CTSG could develop goals and guidelines for working with communities of color, particularly in activities with partner organizations and outreach events. These would include setting goals to increase these interactions, such as by volunteering with an after-school program that services mainly minority children as I did during school vacation week this April. My supervisors have given tentative approval for this to be a regular weekly occurrence involving marine science-related activities; details are being worked out with program leader. Another staff member is returning to the Dominican Republic in June to teach marine science at a school for impoverished girls, as she did in 2019. CTSG should also work to create new outreach events relevant to communities of color. Language included in RFPs recommending that PIs include components in their research projects involving communities of color should be strengthened to indicate that those proposals with well thought-out DEI plans will be scored favorably by review panels.
- Admissions and Hiring Policies: Covered by UConn
- Safety Plan: Creating a safety plan for working with communities of color specific to CTSG will be suggested to supervisors. This plan should be developed with the input of staff, representatives of minority communities and the Senior Advisory Board. Supervisors and staff should discuss the need for specific plans to address the unique needs of people from communities of color and other underrepresented groups and to be sensitive to those needs.
- **Resources Map:** CTSG should consider creating a resource map specific to southeastern Connecticut, where UConn Avery Point and CTSG are located. This could be a vehicle for initiating new connections with local minority communities who would be asked for input.



URGE Management Plan for Ohio Sea Grant and The Ohio State University

This should be a plan to incorporate deliverables into your organization as you continue to develop, assess, and finalize policies and resources. You may want to adjust the format of this for more comprehensive plans, but this covers the essentials.

| Deliverable | Existing Policy or Resource? | Initial Point of Contact(s) to develop/facilitat e discussion | Where It Is or Will Be Posted | Review/Update Interval | Racial Risk Assessment? | Training Recommended? | Approval, Check, and/or Consequence |
|------------------------------------|------------------------------|--|--|----------------------------|----------------------------|--------------------------|---|
| Complaints and Reporting Policy | Yes | Beth / Nicole | Link to OSU Office of Institutional Equity On OSG website, include in employee and student handbooks | Uncertain/TBD | Uncertain/TBD | Annual required | All employees required to train on policy |
| Demographic Data | No | Beth / Nicole | Internal only; potential to include in future strategic plans and site visit briefing books | Recommend every 2 years | Recommended | Recommended initially | Annual reporting (SGA) |



| Policies for Working with Communities of Color | No | Beth / Nicole | Post on organization website, incorporate into large grant program | Recommend annually | Will be recommending | Will be recommending | Possibly through college unit or SGA best practices |
|---|-----|---------------|--|---|-------------------------|--|---|
| Admissions and Hiring Policies | Yes | Beth / Nicole | Within college unit and org | Will recommend annually | Recommended | College unit requires bi-annual training | College unit and HR approval |
| Safety Plan | No | Beth / Nicole | TBD / internally | Will recommend annually, but also after any major reported incidents | TBD / Will recommend | Will recommend annually | College unit or SGA best practices for field work, lab, etc. |
| Resource Map | No | Beth / Nicole | TBD / Post on organization website | Recommending annual | TBD / Will recommend | No, not staff-wide but select staff in charge of on- boarding | HR Consultant and Talent Team / College unit |

Additional considerations for each deliverable (use this space to elaborate on table entries, organize it as appropriate for your pod):

- **Agreement** This agreement can be adapted to outline how you will interact and meet with leadership about these policies, as well as regular meetings with key contacts such as diversity/inclusion committees, HR, etc.
- **Pod Guidelines** Your pod guidelines can be adapted into longer term plans/bylaws, e.g. will this turn into a committee or working group in your organization/institution, will membership/leadership rotate, etc.
 - Ohio Sea Grant pod members are meeting to compile and prioritize deliverables to prepare to present to leadership. Recommendations will be organized over the next several weeks and plans to present, adopt and implement over the next year.
- Complaints and Reporting Policy Currently the reporting policies exist solely within the university structure, however this should translate more



easily into Ohio Sea Grant as well. All employees are required to complete training on sexual harassment and abuse in the workplace or otherwise, but information about reporting isn't listed on our separate website and it isn't included in our lab or field work orientations for students or faculty.

- **Demographic Data** Demographic data is currently only being tracked with regard to students/participants at both the Ohio Sea Grant and university levels. This information is public through the university but only internal through Ohio Sea Grant. However, demographic data with regard to staff, faculty, and speakers is not being collected and therefore not being used to make data driven decisions about diversity in the workplace. This needs to be addressed at both levels but it is more within our control to model good data collection and transparent posting of data at our level in Ohio Sea Grant, to the extent we are able.
- Policies for Working with Communities of Color This deliverable is key to our programming and staff culture competency. Current monthly (optional) JEDI chats are offered to all staff to help raise awareness and understanding on topics of justice, equity, diversity and inclusion. Working with communities of color has not been a priority at Ohio Sea Grant but changes should be made to multiple processes to be more inclusive and proactive. Consequences have yet to be determined as a policy has yet to be written. This is a priority for pod members to discuss and strategize with leadership. This will relate directly to the Safety Plan, Racial Risk Assessment and the Resource Map.
- Admissions and Hiring Policies The university Human Resources talent acquisition staff process the first round of applicants prior to Ohio Sea Grant having the opportunity to review. Once Ohio Sea Grant receives this group of applicants they are then able to schedule interviews and make decisions on hires. This is the part of the process where change or influence can be impacted by changes in policy and awareness of equity. Posting jobs to a broader audience is also a consideration and will be recommended. Building relationships with local communities of color and organizations which serve historically under-represented and under-served populations is also recommended to widen the applicant pools. The college unit requires biannual training called "Inclusive Excellence in Hiring", for all managers. Ohio Sea Grant does not have a written policy around the hiring process from start to finish outside of the university HR process. Creating a policy that is direct and transparent that takes into consideration these components will be recommended by pod members to leadership.
- Safety Plan This is a key deliverable of focus by pod members. Ohio Sea Grant has a biological field station in a predominantly white community on Lake Erie. Historically and in light of recent events, the safety of students, particularly those from historically under-represented or marginalized populations needs to be considered in detail. Field work takes place in multiple locations as well as extension programming. The current lab director and the head of the research team will need to be key players in the development of the Safety plan for all locations. The extension staff and leadership will also need to be consulted on how to assess sites for programming in terms of the safety of all students, in addition to accessibility. The Safety Plan should be covered in orientations on the island and prior to, as well as in the student handbook and all online materials. Training for incoming seasonal staff should include the Safety Plan as well as anti-bias training among other recommendations. Helping staff understand what a racial risk assessment is and how to perform one is critical to the success of the Safety Plan, and the skills, tools and resources required to implement the Safety Plan. The Resource Map will be a supporting piece of this new policy as well.



• Resource Map — No Resource Map currently exists at Ohio Sea Grant or at the university level. Mapping these resources for new employees, even seasonal staff, is a priority for inclusion and support networks that create a safer environment. The value of being co-located with a university is being able to share in the many resources, therefore, categorizing, organizing and publishing the Resource Map is a strong recommendation from the pod members to leadership. HR would need to approve such a resource for new employees. There is also potential to work with the broader Sea Grant network to create a document or virtual space that supports People of Color in navigating the organization and developing peer or mentor/mentee networks.